

**Curriculum Vitae**  
**(current to February 2025)**

**EMERITUS PROFESSOR IAN RAMSAY AO**

**Redmond Barry Distinguished Professor Emeritus**  
**Melbourne Law School**  
**University of Melbourne**

Ian Ramsay AO is Redmond Barry Distinguished Professor Emeritus, Melbourne Law School, University of Melbourne. He has practised law with the firms Sullivan & Cromwell in New York and Mallesons Stephen Jaques (now King & Wood Mallesons) in Sydney. Other positions Professor Ramsay holds or has held include:

- Harold Ford Professor of Commercial Law, Melbourne Law School, University of Melbourne (1994-2021) and Redmond Barry Distinguished Professor, Melbourne Law School, University of Melbourne (2018-2021)
- Director of the University of Melbourne's Centre for Corporate Law (1996-2022)
- Dean, Melbourne Law School, University of Melbourne (2002-2003)
- Associate Dean, Melbourne Law Masters, Melbourne Law School, University of Melbourne (2005-2010 and 2013)
- Member of the Australian Securities and Investments Commission Consultative Panel (2020 to date)
- Member of the Advisory Committee for the Inquiry by the Australian Law Reform Commission into Australia's Corporate Criminal Responsibility Regime (2019-2020)
- Member of the Australian Securities and Investment Commission Enforcement Policies, Processes and Decision-Making Procedures Review (2018-2019)
- Chair of the Independent Panel to Review the Financial System's External Dispute Resolution and Complaints Framework (2016-2017)
- Member of the Australian Securities and Investments Commission Enforcement Review Taskforce (2016-2017)
- Consultant to the Association of Southeast Asian Countries (ASEAN) and Co-Author of the Report for ASEAN on Facilitating Equity Crowdfunding in ASEAN (2016-2017)
- Member of the Capital Markets Advisory Group of the Securities Commission of Malaysia (2013-2015)
- Member of the Corporations and Markets Advisory Committee (which was the Federal Government's main corporate law reform advisory body) (2002-2014)
- Member of the Takeovers Panel (which is the main forum for resolving takeover disputes) (2000-2012)
- Member of the Australian Securities and Investments Commission External Advisory Panel (2009-2013)
- Member of the Corporations Law Committee of the Law Council of Australia (1995 to date)
- President of the Society of Corporate Law Academics (2000-2001) and Member of the Executive Committee of the Society of Corporate Law Academics (1995-2014)
- Member of the National Law Committee of the Australian Institute of Company Directors (1995-2011)

- Director of the Audit Quality Review Board (2006-2009)
- Member of the Federal Government's Companies Auditors and Liquidators Disciplinary Board (2004-2013)
- Member of the Appeals Panel of the Federation of International Basketball Associations (2002-2014)
- Consultant to the Scrutiny of Acts and Regulations Committee, Parliament of Victoria (2008)
- Member of the Federal Government's Implementation Consultative Committee for the Financial Services Reform Act (2001-2005)
- Consultant to the Australian Broadcasting Authority (ABA) and Author of the Report for the ABA on Reform of the ABA's Enforcement Powers (2004)
- Consultant to the Victorian Government on Corporate and Commercial Law Reform (2000, 2003, 2007 and 2009)
- Consultant to the Parliament of Australia House of Representatives Standing Committee on Economics, Finance and Public Administration (2004)
- Member of the International Federation of Accountants Taskforce on Rebuilding Confidence in Financial Reporting (2002-2003)
- Consultant to the Australian Securities and Investments Commission (ASIC) and Author of the Report for ASIC on Disclosure of Fees and Charges in Managed Investments (2002)
- Head of the Federal Government's Inquiry on Auditor Independence (2001)
- Member of the Australian Law Reform Commission's Advisory Committee for its Civil and Administrative Penalties Project (2000-2002)
- Member of the Australian Securities and Investments Commission's Corporate Governance Roundtable (1998-2002)
- Visiting Professor, Faculty of Law, The University of Paris (2008)
- Distinguished Visiting Professor and Professorial Fellow, Faculty of Law, The University of Hong Kong (2001)
- Distinguished Visiting Professor, Faculty of Law, The University of Toronto (1997)
- Member of the Executive Committee of the Business Law Section of the Law Council of Australia (1990-1999)
- Consultant to the Australian Law Reform Commission for its Managed Investments Project (1992)
- Deputy Director of the Federal Government's Companies and Securities Advisory Committee and Author of a number of reports including a report on directors' and officers' insurance (1991-1992)

Professor Ramsay is a Fellow of the Australian Academy of Law and a Fellow of the Academy of Social Sciences in Australia.

In 2023 Professor Ramsay was appointed as an Officer (AO) of the Order of Australia. The appointment is for "distinguished service to the law, to regulatory bodies, to tertiary education, and to law reform".

In 2022 the Council of Australian Law Deans awarded Professor Ramsay the Australian Legal Research Awards Lifetime Achievement Medal. The Medal is awarded for "outstanding contributions to legal research" and "recognises individuals who have made a significant and enduring contribution to legal research".

Professor Ramsay has published extensively on corporate law, corporate governance and financial regulation issues both internationally and in Australia. His books include: **Company Directors: Principles of Law and Corporate Governance** (2nd edition, 2023); **Ford, Austin and Ramsay's Principles of Corporations Law** - which is Australia's leading corporate law book (co-author, 17th edition, 2018, also published as a three volume edition updated several times a year); **Commercial Applications of Company Law** (co-author, 23rd edition, 2022); **Incentivising Employees: The Theory, Policy and Practice of Employee Share Ownership Plans in Australia** (co-author 2013); **Law, Corporate Governance and Partnerships at Work: A Study of Australian Regulatory Style and Business Practice** (co-author 2011); **Commercial Applications of Company Law in New Zealand** (co-author, 5th edition, 2015); **Commercial Applications of Company Law in Singapore** (co-author, 5th edition, 2015); **The Takeovers Panel and Takeovers Regulation in Australia** (editor, 2010); **Varieties of Capitalism, Corporate Governance and Employees** (co-editor, 2008); **Commercial Applications of Company Law in Malaysia** (co-author, 3rd edition, 2008); **Experts' Report in Corporate Transactions** (co-author, 2003); **Key Developments in Corporate Law and Trusts Law: Essays in Honour of Professor Harold Ford** (editor, 2002); **Company Directors' Liability for Insolvent Trading** (editor, 2000); **Securities Regulation in Australia and New Zealand** (co-editor, 1998); **The Corporate Law Economic Reform Program Act Explained** (co-author, 2000); **The New Corporations Law** (co-author, 1998); **Corporate Governance and the Duties of Company Directors** (editor, 1997); and **Education and the Law** (co-author, 1996).

In addition, he has published over 300 research reports, book chapters and journal articles.

Professor Ramsay's publications have been cited by the High Court of Australia, the Federal Court of Australia, the Courts of Appeal of the Supreme Courts of New South Wales, Victoria, Queensland and Western Australia, as well as by the Full Court of the Supreme Court of South Australia.

His publications have also been cited by courts outside Australia including by the Supreme Court of the United Kingdom, the United States Bankruptcy Court, the Court of Appeal of New Zealand, the High Court of New Zealand, the Court of Appeal of the High Court of Hong Kong, the Court of Appeal of the Supreme Court of Singapore, the High Court of the Supreme Court of Singapore, the Court of Appeal of Malaysia, the High Court of Malaysia and the Scottish Court of Session (the supreme civil court in Scotland).

Professor Ramsay is well known for his policy related research which has been undertaken for the Australian Government, the Australian Securities and Investments Commission, the Australian Communications and Media Authority, the Australian Law Reform Commission and other organisations. This research has resulted in important regulatory changes.

Professor Ramsay is also well known for his empirical research. These projects have included a survey of company directors regarding how they interpret their legal duties; surveys and interviews of senior high school students to ascertain their levels of financial literacy; a survey of listed companies regarding details of their employee share ownership plans; a survey of employees of two major companies regarding why the employees do or do not invest in employee share ownership plans; interviews with institutional investors regarding their interest and involvement in the human resource management practices of companies in which they invest; a survey of young adults regarding their knowledge, behaviour and attitudes about superannuation; a survey of investors regarding their use of prospectuses; a survey of those who have been bankrupt;

interviews with financial counsellors who assist debtors in the bankruptcy list of the Federal Circuit Court; a survey of those suffering financial hardship and a survey of financial counsellors who advise those suffering financial hardship; and interviews with enforcement staff of the Australian Securities and Investments Commission regarding their use of civil penalties for contraventions of the Corporations legislation.

Professor Ramsay is one of Australia's most successful academic lawyers in terms of competitive research grants.

Professor Ramsay is a respected commentator in the media on corporate governance, corporate law and financial regulation. He is regularly interviewed in the financial press and has been interviewed for international newspapers including the New York Times. His research has been reported in international newspapers including the Financial Times and the Wall Street Journal. Professor Ramsay has been interviewed on major TV programs such as the 7.30 Report, 4 Corners and Lateline, as well as radio programs including the Law Report and various current affairs programs.

## **Redmond Barry Distinguished Professor**

Professor Ramsay was appointed a Redmond Barry Distinguished Professor in 2018. The Redmond Barry Distinguished Professor title, established in honour of Sir Redmond Barry's contribution as founder of the University of Melbourne, "recognises and rewards outstanding leaders within the University's professoriate. Redmond Barry Distinguished Professors are recognised for their pre-eminence in research or creative activity, or pre-eminence in research and teaching".

## **Qualifications**

BA LLB (Hons) (Macquarie); LLM (Harvard)  
Lawyer of the Supreme Court of New South Wales  
Member of the New York Bar

## **University responsibilities**

### **International (select list)**

- Member of the External Academic Review Panel for the review of the Singapore Management University School of Law, 2019
- Member of the Hong Kong University Grants Committee Law Panel for the University Research Assessment Exercise, 2013-2014
- Member of the Academic Advisory Board of the Asian Institute of International Financial Law, University of Hong Kong, 2000 to date

### **Melbourne Law School, University of Melbourne, Dean and Associate Dean positions**

- Dean, Melbourne Law School, 2002-2003
- Associate Dean, Melbourne Law Masters Program, 2005-2010 and 2013

- Associate Dean, Staff Development, 2012 and 2017
- Associate Dean, Information (responsible for Information Systems and the Law Library), 2000-2005
- Associate Dean, Development, 1998-1999

**Melbourne Law School, University of Melbourne, other positions (select list)**

- Member of the Melbourne Law Masters Committee, 2008-2021
- Member of the Academic Staff Selection Committee, 1995-2007, 2009 and 2015
- Member of the Executive Committee, 2009-2010 and 2013
- Member of the Coursework Programs Coordination Committee, 2008-2010
- Chair of the Law School Career Services Working Group, 2008
- Chair of the Information Technology Review Working Party, 2006
- Member of the Graduate Studies Advisory Board, 1994-2007
- Member of the Executive and Budgets Committee, 1994-2007
- Member of the Research Committee, 2000-2005
- Chair of the Law Library and Information Systems Committee, 1994-2005
- Chair of the Executive and Budgets Committee, 2002-2003
- Chair of the Staff Selection Committee, 2002-2003
- Chair of the Honours Committee, 2003
- Member of the Information Technology Committee, 1994-1997
- Chair of the Information Technology Committee, 1995
- Director of Studies of the Graduate Diploma in Corporate Law, 1994-2021 and the Master of Commercial Law, 1998-2021
- Director of the Centre for Corporate Law, 1996-2022 (further information below)
- Harold Ford Professor of Commercial Law, 1994-2021

**University of Melbourne – central University positions (select list)**

- Member of the Associate Deans Learning and Teaching Forum, 2013
- Member of the Panel for the Review of the Master of Engineering Management and Master of Engineering Project Management, School of Engineering, 2012
- Member of the Knowledge Transfer Taskforce, 2006
- Member of the Subsidiaries Subcommittee of the Finance Committee of the University Council, 2005-2008
- Member of the Information Strategy Committee, 2001 and 2003
- Member of the Budget Reference Group, 2003
- Member of the Planning and Budget Committee, 2002-2003
- Member of the Advisory Board and the Management Committee of the Intellectual Property Research Institute of Australia, 2002-2003
- Member of the Australian and New Zealand School of Government Committee, 2003
- Member of the Joint Committee of the Academic Board and Heads of Colleges, 2003
- Chairperson, University Libraries Committee, 1999-2001
- Member of the University Libraries Committee, 1995-2003

## **University of New South Wales**

- Associate Dean, Faculty of Law, University of New South Wales, 1993-1994

## **Directorship of the University of Melbourne's Centre for Corporate Law**

The Centre for Corporate Law was established at Melbourne Law School in 1996. The objectives of the Centre are to:

- undertake and promote research on corporate law
- provide advice to the Law School on the teaching of corporate law subjects within the Melbourne Law School at The University of Melbourne and develop and promote innovative curriculum, teaching methods and teaching materials in this field
- host seminars and conferences to disseminate the results of research undertaken under the auspices of the Centre or in other programs associated with the Centre
- develop and promote links with academics in other Australian universities and in other countries who specialise in corporate law
- establish and promote links with similar bodies, internationally and nationally, and provide a focal point in Australia for scholars in corporate law
- promote close links with peak organisations involved in corporate law
- promote close links with those members of the legal profession who work in corporate law
- attract students of the highest calibre to the Law School's programs and provide opportunities for their involvement in corporate law research projects.

Professor Ramsay was appointed the foundation Director of the Centre in 1996 and continued in that role until 2022. In a review of the Centre published in 2010, the Review Panel stated that the Centre “is recognized, nationally and internationally, as one of the leading centres in its field”, the “Centre’s research record is stellar” and “the Centre’s achievements in engagement with other law schools, the legal profession, business, government and the wider community have also been extraordinary”. The Review Panel further stated that “Professor Ian Ramsay, foundation Director of the Centre, continues to provide inspiring and effective leadership. The Review Panel strongly commends Professor Ramsay on his vision, enthusiasm, energy and achievements”.

The five-year review of the Centre was conducted in 2015. The report of the Review Committee, published in December 2015, included the following comments: “The Review Committee was particularly impressed by the breadth and depth of expertise and experience in the membership of the Centre, comparable to that found in leading centres in this field anywhere in the world...It is recognised, nationally and internationally, as one of the leading centres in its field...The Centre has an outstanding research record...Members of the Centre have also achieved extraordinary success in obtaining competitive research grants...The Review Committee strongly commends the Centre upon its outstanding research performance, particularly its delivery of innovative and relevant research projects relating to corporate law and securities regulation. The Centre has sustained this strong performance across the 19 years since its establishment, and has strengthened it since the last review of the Centre in 2010...Since its last review in 2010, the Centre’s achievements in engagement with other law schools, the legal profession, business, government and the wider community have also been extraordinary. These achievements include 6 major national and international conferences, and 57 seminars, workshops and roundtables, involving hundreds of speakers from academia, the legal profession, business and government. The Centre has maintained significant links with a very extensive range of professional and government

organisations...A recurring theme in the Review Committee's interviews was that the Centre provides an extremely collegial and supportive environment. It builds productive links with other universities, the legal profession, business, government and the wider community. It continues to strengthen the University's international standing. Its role within the University is unique and highly productive."

In 2022, the Centre for Corporate Law merged with several other research centres at Melbourne Law School to form the Melbourne Centre for Commercial Law.

## Previous employment

- Harold Ford Professor of Commercial Law, Melbourne Law School, University of Melbourne (1994-2021) and Redmond Barry Distinguished Professor, Melbourne Law School, University of Melbourne (2018-2021)
- Senior Lecturer (June 1992-July 1994) and Lecturer (February 1989-June 1992), Faculty of Law, University of New South Wales (lecturing in the areas of company law and securities regulation)
- Deputy Director of the Federal Government's Companies and Securities Advisory Committee (1991-1992)
- Attorney, Sullivan & Cromwell (New York, 1985-1987) and Mallesons Stephen Jaques (now King & Wood Mallesons, Sydney, 1987-1989)
- Legal Officer, New South Wales Law Reform Commission (1982-1984)

## Policy and law reform work

### Dispute resolution in the financial system

In 2016-2017 Professor Ramsay chaired the independent panel appointed by the Australian Government to review the financial system's external dispute resolution and complaints framework. The panel published two issues papers, an interim report and two final reports: **Review of the Financial System External Dispute Resolution and Complaints Framework, Final Report**, Commonwealth of Australia, 2017, 246 pages (co-author with J Abramson and A Kirkland) and **Review of the Financial System External Dispute Resolution and Complaints Framework, Supplementary Final Report**, Commonwealth of Australia, 2017, 204 pages (co-author with J Abramson and A Kirkland). The panel made 11 recommendations in its final report. All these recommendations were accepted by the government. In February 2018 the Australian Parliament passed the Treasury Laws Amendment (Putting Consumers First - Establishment of the Australian Financial Complaints Authority) Act 2018 (Cth) which enacts the recommendations. In November 2018 the Australian Financial Complaints Authority commenced operation.

### Equity crowdfunding in ASEAN

In 2016-2017 Professor Ramsay was appointed a consultant to the Association of Southeast Asian Countries (ASEAN) and prepared a report titled **Facilitating Equity Crowdfunding in the ASEAN Region**, Association of Southeast Asian Nations, 2017, 155 pages (co-author with S Kourabas). The report is a detailed discussion of equity crowdfunding including the legal issues associated with this type of funding. The report provides a series of recommendations for member states of ASEAN which have not yet introduced equity crowdfunding on the key issues they should

consider in designing a regulatory framework for equity crowdfunding. Prior to publication of the report, a two-day roundtable meeting on equity crowdfunding was held in May 2017 in Kuala Lumpur to consider and discuss the findings and recommendations in the draft report. Representatives from all ASEAN countries were present to hear Professor Ramsay present the findings of his research.

### **Australian Securities and Investments Commission enforcement review taskforce**

In 2016-2017 Professor Ramsay was appointed by the Australian Government to be a member of the Australian Securities and Investments Commission Enforcement Review Taskforce. During the review, the taskforce published 8 consultation papers on matters including the level of penalties for corporate and financial sector misconduct, ASIC's power to ban individuals working in the financial sector, ASIC's licencing powers, search warrant powers, and industry codes in the financial sector. In December 2017, the taskforce submitted its final report with recommendations to the Government. On 20 April 2018, the Commonwealth Treasurer, the Hon Scott Morrison MP, announced that the Government had agreed to all 50 of the Taskforce recommendations and would prioritise the implementation of 30 of the recommendations. In February 2019 the Australian Parliament passed the Treasury Laws Amendment (Strengthening Corporate and Financial Sector Penalties) Act 2018 (Cth) which enacts the recommendations.

### **Australian Securities and Investments Commission enforcement policies, processes and decision-making procedures review**

In 2018-2019 Professor Ramsay was appointed by the Chair of the Australian Securities and Investments Commission to be a member of the ASIC Enforcement Policies, Processes and Decision-Making Procedures Review. The terms of reference for the review stated that it should identify what changes should be made to ASIC's enforcement policies to ensure they are aligned with internal and external expectations of ASIC and ensure ASIC obtains enforcement outcomes that are necessary for a fair, strong and efficient financial system for all Australians. The Review should particularly focus on policies, processes and decision-making procedures relevant to:

- whether or not to enforce the law using criminal and civil proceedings or other regulatory options; and
- the effectiveness and timeliness of the conduct of litigation and of enforcement outcomes.

The review submitted its report to ASIC in January 2019. In February 2019 ASIC announced that it had adopted the recommendations in the report including the establishment of an Office of Enforcement within ASIC.

### **Corporations and Markets Advisory Committee**

Professor Ramsay was a member of the Corporations and Markets Advisory Committee (CAMAC) from 2002 to 2014. CAMAC was the Federal Government's main corporate law reform advisory body. As a member of CAMAC, Professor Ramsay participated in the preparation of reports and discussion papers on topics including:

- crowd sourced equity funding
- administration of charitable trusts
- managed investment schemes

- regulation of derivatives
- executive remuneration
- guidance for directors
- members' schemes of arrangement
- diversity on boards of directors
- aspects of market integrity
- corporate social responsibility
- shareholder claims against insolvent companies
- issues in external administration
- personal liability for corporate fault
- corporate duties below board level
- long tail liabilities: the treatment of unascertained future personal injury claims
- rehabilitating large and complex organisations in financial difficulty
- directors and officers insurance
- insider trading

### **Enforcement powers of the Australian Broadcasting Authority**

In 2004 Professor Ramsay was appointed a consultant to the Australian Broadcasting Authority and prepared a report for the Authority titled **Reform of the Broadcasting Authority's Enforcement Powers**. In 2005, the Government published the report and announced that it was seeking public submissions in relation to the report. In October 2006 Parliament passed the Communications Legislation Amendment (Enforcement Powers) Act 2006 (Cth) which enacts the key recommendations in the report.

### **Disclosure of fees and charges in managed investments**

In 2002 Professor Ramsay was appointed a consultant to the Australian Securities and Investments Commission and prepared a 250 page report for ASIC titled **Disclosure of Fees and Charges in Managed Investments: Review of Current Australian Requirements and Options for Reform**. The report is available on ASIC's website at <http://www.asic.gov.au>. In August 2003 ASIC released its Good Practice Model of Fee Disclosure in Product Disclosure Statements (prospectuses) of Investment Products. In its media release ASIC noted that the development of its fee disclosure model is based on a recommendation in the 2002 report. In April 2003 ASIC launched a fee calculator for managed investments on its website. ASIC's media release noted that the calculator was developed following a recommendation in the report. In December 2003, Federal Parliament amended the Financial Services Reform Act to improve disclosure of fees to consumers (in particular, to require dollar disclosure of fees). This amendment was a recommendation in Professor Ramsay's report to ASIC and his research was drawn upon both in the Parliamentary debates and in the preceding Parliamentary Committee debates.

### **Independence of company auditors**

In 2001 Professor Ramsay was appointed a consultant to the Federal Government and prepared a 230 page report for the Government titled **Independence of Australian Company Auditors: Review of Current Australian Requirements and Proposals for Reform**. The report is available on the Department of Treasury website at <http://www.treasury.gov.au>. Almost all of the recommendations contained in this report were endorsed by the Federal Government: see

**Corporate Disclosure: Strengthening the Financial Reporting Framework**, Corporate Law Economic Reform Program, Proposals for Reform, Paper No 9, September 2002, available on the Department of Treasury website. The Corporate Law Economic Reform Program (Audit Reform and Corporate Disclosure) Act 2004 (Cth), introduced into Federal Parliament on 24 November 2003 by the Commonwealth Treasurer and passed by the Commonwealth Parliament in June 2004, enacts key recommendations contained in the report.

Other developments relating to the report are:

- The Auditing and Assurance Standards Board issued new guidance on auditor independence following recommendations in the report;
- The professional accounting bodies (CPA Australia and the Institute of Chartered Accountants) updated their ethical rules on auditor independence following recommendations in the report;
- A number of prominent companies disclosed in their annual reports that they benchmarked their audit practices against the recommendations in the report (these companies included BHP Billiton, National Australia Bank, Westpac Banking Corporation and Macquarie Bank);
- The report has been drawn upon in a number of international reports dealing with auditor independence or corporate governance (including reports published in the United Kingdom, the USA, South Africa and India); and
- The report has been the subject of extensive academic discussion and commentary including being the focus of articles in a special issue of the Australian Accounting Review devoted to auditor independence (Vol 12 No 2, July 2002).

#### **Other policy and law reform work (select list)**

In 2019 Professor Ramsay was appointed by the Australian Securities and Investments Commission (ASIC) to provide advice on the proposed revised Memorandum of Understanding between ASIC and the Australian Prudential Regulation Authority (APRA) following the recommendations in the report of the Royal Commission into Misconduct in the Banking, Superannuation and Financial Services Industry dealing with regulatory co-ordination and information sharing between ASIC and APRA.

In 2019-2020 Professor Ramsay was appointed a member of the Advisory Committee for the inquiry by the Australian Law Reform Commission into Australia's corporate criminal responsibility regime.

In 2013-2014 Professor Ramsay was a member of the Governance Institute of Australia Roundtable on Shareholder Primacy (the Roundtable published a discussion paper in 2014).

In 2010 Professor Ramsay was a member of the Chartered Secretaries Australia and SAI Global Roundtable on Governance and Risk Management: Sustainable Organisations (the Roundtable published a discussion paper in 2010).

In 2009 Professor Ramsay was appointed a consultant to the Victorian Department of Justice and provided law reform advice to the Department on the provision of security by a liquidator under the Associations Incorporations Act 1981 (Vic), the Co-operatives Act 1996 (Vic) and the Partnership Act 1958 (Vic).

In 2008 Professor Ramsay was appointed an expert advisor to the Parliament of Victoria Scrutiny of Acts and Regulations Committee and provided advice to the Committee in relation to its inquiry into the repeal of certain corporations laws.

Professor Ramsay has been a consultant to the Victorian Government on the referral of its Corporations Act powers to the Commonwealth Parliament (2000), on the Partnership (Venture Capital Funds) Amendment Act 2003 (2003), and on the Early Stage Venture Capital Limited Partnerships legislation (2007).

In 2004 Professor Ramsay was appointed a consultant to the Parliament of Australia House of Representatives Standing Committee on Economics, Finance and Public Administration and prepared a report for the Committee relating to its Inquiry into the Australian Prudential Regulation Authority.

In 2003 Professor Ramsay was appointed a consultant to the Financial Planning Association and prepared a report for the Association on ways to improve disclosure of financial adviser fees, commissions and other benefits.

The policy reports Professor Ramsay has authored have been commented upon in newspaper editorials and articles. The Australian Financial Review, in a column published on 6 August 2003, wrote: "...the ASIC fee rules, based on the work by Melbourne University Law School Dean Ian Ramsay, adds another notch to the key role he is playing in corporate law reform. When [the Government's Corporate Law Economic Reform Program policy] is released...the section on audit independence will be based on Ramsay's work. He also plays a key role in the Fed's Corporations and Markets Advisory Committee...He is a government favourite because his legal judgment is sound and he is fiercely independent with no perceived ties to any lobby group".

### **Companies and Securities Advisory Committee**

Between March 1991 and March 1992 Professor Ramsay (then a lecturer at the University of New South Wales Law School) was appointed Deputy Director of the Federal Government's Companies and Securities Advisory Committee. Section 1 of the Australian Securities and Investments Commission Act 1989 (Cth) stated that the function of the Committee is "to provide informed and expert advice to the Minister [the Commonwealth Treasurer] about the content, operation and administration of laws [conferring power on the Australian Securities and Investments Commission], about corporations and about the securities markets and futures markets".

Professor Ramsay authored or co-authored the following reports for the consideration of the Minister:

- **Report on Reform of the Law Governing Corporate Financial Transactions** This Report was co-authored with John Kluver, Executive Director of the Companies and Securities Advisory Committee. A modified version of the draft Bill, which is an annexure to the Report, formed the basis for the enactment, in 1992, of Part 3.2A of the Corporations Law, "Financial Benefits to Related Parties of Public Companies".
- **Report on Directors' Duty of Care and Consequences of Breaches of Directors' Duties** Professor Ramsay was the sole author of this Report. Several of the recommendations contained in the Report were enacted in the Corporate Law Reform Act 1992 (Cth).

- **Report on Company Directors and Officers: Indemnification, Relief and Insurance** Professor Ramsay was the co-author of this Report. The recommendations in the Report were enacted in the Corporate Law Reform Act 1994 (Cth).
- **Report on a Statutory Derivative Action** Professor Ramsay was the co-author of this Report. The Federal Attorney-General released draft legislation based upon the recommendations contained in the Report in 1995 and the statutory derivative action was enacted as part of the Corporate Law Economic Reform Program Act 1999 (Cth).

## Editorial positions

- Member of the Editorial Board of the **Company and Securities Law Journal**, 1995 to date
- Editor of the **Corporate Law Bulletin**, 1997-2021
- Member of the Editorial Board of the **Australian Accounting Review**, 1995-2021
- Member of the International Editorial Board of the **Singapore Academy of Law Journal**, 2004-2021
- Editorial Adviser for the **Governance, Risk & Compliance Newsfeed**, 2004-2021
- Member of the Editorial Board of the **International Journal of Law and Education**, 1996-2020
- Member of the Editorial Board of the **Macquarie Law Journal**, 1999-2002 and 2012-2019
- Member of the Editorial Advisory Board of the **Western Sydney University Law Review**, 2012-2015
- Member of the Editorial Board of the **Journal of Law and Financial Management**, 2002-2014
- Member of the Editorial Board of the **Macquarie Journal of Business Law**, 2004-2012
- Member of the Editorial Board of **Corporate Ownership and Control**, 2003-2012
- Consultant Editor for the 4 volume loose-leaf service **International Securities Regulation: Pacific Rim**, Oceana Publications, New York, 1996-2005
- Faculty Adviser, **Melbourne University Law Review**, 2000-2005
- Consultant Editor for the 4 volume loose-leaf service **Australian Corporations and Securities Law Reporter**, CCH Australia, 2000-2005
- Member of the Editorial Board of **Across the Board**, 2003-2005
- Member of the Editorial Board of the **Directors Manual**, 2004-2005
- General Editor of the research reports and monograph series published by the Centre for Corporate Law, The University of Melbourne, 1996-2002
- Member of the Editorial Board of the **International and Comparative Corporate Law Journal**, 2000-2002
- Reviewer of chapters of the **Directors Professional Education Reference Manual**, CCH Australia, 2002
- Reviewer of chapters of the book **Collapse Incorporated: Tales, Safeguards and Responsibilities of Corporate Australia**, CCH Australia, 2001
- Editor of the Collected Volume of Papers Presented at the **Annual Corporate Law Workshop**, Business Law Section, Law Council of Australia, 1993-2000
- Consultant Editor for the **International Corporate Law Bulletin**, 1998-2000

- Title Editor for the Business Organisations Title of **Laws of Australia**, 1998-2000
- Editor of the **Business Law Section Newsletter**, Law Council of Australia, 1990-1999
- Member of the Publications Committee of the **Commercial Law Quarterly**, 1989-1998
- Member of the Academic Board (UNSW), **Laws of Australia**, 1990-1993
- Editor of the Collected Volume of the Papers Presented at the 1993 **Trade Practices Workshop**, Business Law Section, Law Council of Australia
- Faculty Adviser, **The University of New South Wales Law Journal**, 1992-1993

## **Membership of learned academies**

Fellow of the Australian Academy of Law (2016 to date). The Australian Academy of Law is a learned academy and comprises “individuals of exceptional distinction in the discipline of law”.

Fellow of the Academy of Social Sciences in Australia (2019 to date). The Academy of Social Sciences in Australia is a learned academy that is “an independent, interdisciplinary body of Fellows...elected by their peers for their distinguished achievements and exceptional contributions made to the social sciences”.

## **Appointment as an Officer (AO) of the Order of Australia**

In 2023 Professor Ramsay was appointed as an Officer (AO) of the Order of Australia. The appointment is for “distinguished service to the law, to regulatory bodies, to tertiary education, and to law reform” and was announced as part of the Australia Day 2023 Honours. Individuals are appointed an Officer of the Order of Australia for “distinguished service of a high degree to Australia or humanity at large”.

## **Australian Legal Research Awards Lifetime Achievement Medal**

In 2022 the Council of Australian Law Deans awarded Professor Ramsay the Australian Legal Research Awards Lifetime Achievement Medal. The Medal is awarded for “outstanding contributions to legal research” and “recognises individuals who have made a significant and enduring contribution to legal research”. The Medal “is Australia’s most prestigious Legal Research Award made to an individual nominee who has an established and lengthy history of distinguished legal research, made a lasting contribution to research practice, exhibited leadership and provided inspiration to others in the law, and positively influenced and achieved impact in legal research on a state, national or international level”.

## **Honorary life membership of the Society of Corporate Law Academics**

Honorary Life Member of the Society of Corporate Law Academics (2018 to date). The members of the Society of Corporate Law Academics voted to confer honorary life membership on Professor Ramsay as someone who has made “a sustained and outstanding contribution to corporate law in Australasia”.

## Service to government and other bodies

### Service to government

- Member of the Australian Securities and Investments Commission Consultative Panel, 2020 to date
- Member of the Advisory Committee for the Inquiry by the Australian Law Reform Commission into Australia's Corporate Criminal Responsibility Regime, 2019-2020
- Member of the Australian Securities and Investments Commission Taskforce to Review ASIC's Enforcement Policies, Processes and Decision-Making Procedures, 2018-2019
- Member of the Tertiary Education Quality and Standards Agency Register of Experts, 2015-2018
- Chair of the Independent Panel to Review the Financial System's External Dispute Resolution and Complaints Framework, 2016-2017
- Member of the Australian Securities and Investments Commission Enforcement Review Taskforce, 2016-2017
- Member of the Corporations and Markets Advisory Committee (which was the Federal Government's main corporate law reform advisory body), 2002-2014
- Member of the Takeovers Panel (which is the main forum for resolving takeover disputes), 2000-2012, and member of the following sub-committees of the Takeovers Panel:
  - Break fees policy
  - Legislative review policy
  - Conflicts policy
  - Review of draft changes to ASIC Policy Statement 159 on Takeovers: ASIC's Discretionary Powers
- Acting President of the Takeovers Panel (during the occasional absence of the President), 2003-2012
- Member of the Federal Government's Companies Auditors and Liquidators Disciplinary Board, 2004-2013
- Head of the Federal Government Inquiry on Auditor Independence, 2001
- Member of the Australian Law Reform Commission's Advisory Committee for its Civil and Administrative Penalties Project, 2000-2002
- Member of the Federal Government's Implementation Consultative Committee for the Financial Services Reform Act, 2001-2005
- Consultant to the Victorian Government on the Referral of its Corporations Act powers to the Commonwealth Parliament (2000), on the Partnership (Venture Capital Funds) Amendment Act 2003 (2003), and on the Early Stage Venture Capital Limited Partnerships legislation (2007)
- Consultant to the Australian Law Reform Commission for its Managed Investments Project, 1992
- Deputy Director of the Companies and Securities Advisory Committee, 1991-1992

### **Service to legal education**

- Member of the Committee established by the Law Admissions Consultative Committee to Review the Company Law Component of the Academic Requirements for Admission to Practice, 2018
- Member of the Council of Australian Law Deans, 2002-2003
- Member of the Council of Legal Education, 2002-2003

### **Service to the legal profession**

- Member of the Executive of the Business Law Section, Law Council of Australia, 1990-1999. The following is a description of the Law Council taken from one of its publications:  
  
“The Law Council is the federal organisation of the legal profession, made up of Bar Associations and Law Societies and (since 1985) individual members. It speaks for the profession on the legal aspects of national issues, on federal law and on the operation of federal courts and tribunals.”
- Member of the Corporations Law Committee of the Business Law Section, Law Council of Australia, 1995 to date
- Member of the Judging Panel for the Santow Scholarship awarded by the Business Law Section of the Law Council of Australia, 2015 and 2016
- Member of the Multidisciplinary Practices Taskforce of the Law Institute of Victoria, 1999-2000
- Member of the Organising Committee of the 1999 and 1996 Corporate Law Workshops, Business Law Section, Law Council of Australia
- Member of the Victorian Committee of the Commercial Law Association of Australia, 1995

### **Service to the accounting profession**

- Director of the Audit Quality Review Board, 2006-2009

### **Service to professional associations**

- Member of the Advisory Panel of the Australian Institute of Company Directors Governance Leadership Centre, 2015-2017
- Member of the Governance Institute of Australia Roundtable on Shareholder Primacy, 2013-2014 (the Roundtable published a discussion paper in 2014)
- Member of the Chartered Secretaries Australia and SAI Global Roundtable on Governance and Risk Management: Sustainable Organisations, 2010 (the Roundtable published a discussion paper in 2010)
- Member of the Awards Committee for Abacus - Australian Mutuals, 2008. Abacus is the industry body for Australian credit unions, mutual building societies and friendly societies. The four awards were for (1) innovation (2) community involvement (3) sustainability and (4) emerging leader
- Member of the Judging Committee for the Chartered Secretaries Australia Governance Professional of the Year Award, 2006 and 2007

- Member of the International Federation of Accountants (IFAC) Taskforce on Rebuilding Confidence in Financial Reporting, 2002-2003. IFAC consists of 156 professional accountancy bodies in 114 countries representing more than 2 million accountants in public practice. Other members of the taskforce were: John Crow, Chair (former Governor of the Bank of Canada, Chairperson of the Central Bank of Governors of the Group Ten countries and a Division Chief of the International Monetary Fund); Christian Aubin (former Inspector General of Finance for the Republic of France, former Senior Executive Vice President of Corporate Finance for Banque Nationale de Paris and member of France's National Accounting Council); Olivia Kirtley (former Chair of the American Institute of Certified Public Accountants and a member of the Board of Directors and Audit Committee Chairperson for three companies traded on US Stock Exchanges); Kosuke Nakahira (former Japanese Vice-Minister of Finance for International Affairs and Controller of the Tokyo Stock Exchange, Vice-Chairman of the Institute for International Economic Studies and member of the Joint Committee on Remuneration of IMF/World Bank Executive Directors); Guylaine Saucier (Company Director, Chair of the Canadian Stock Exchange Committee relating to improvements in corporate governance and former Chair of the Canadian Institute of Chartered Accountants); and Graham Ward (Senior Partner in PriceWaterhouseCoopers, former President of the Institute of Chartered Accountants in England and Wales, member and former Deputy Chairman of the UK's Financial Reporting Council, member of the UK's Auditing Practices Board and former member of the UK's City Panel on Takeovers and Mergers).
- Member of the National Law Committee (previously the Corporations Law Committee) of the Australian Institute of Company Directors, 1995-2011
- Member of the Accreditation Committee established by the New South Wales Department of Education and Training for the Graduate Diploma in Company Secretarial Practice offered by the Chartered Institute of Company Secretaries in Australia, 1999
- Member of the Corporate Governance Subject Advisory Committee, Chartered Secretaries Australia, 2001
- Course Director for the Company Secretaries Course, Chartered Secretaries Australia, 1993-1995

### **Service to corporate law teachers**

- President of the Society of Corporate Law Academics, 2000-2001
- Member of the Executive Committee of the Society of Corporate Law Academics, 1995-2014
- Member of the Organising Committee of the 2015 Society of Corporate Law Academics Conference, 2014-2015
- Chair of the Organising Committee of the 1997 Society of Corporate Law Academics, 1996-1997
- Member of the Organising Committee of the First Society of Corporate Law Academics, 1990-1991
- In 2018 the members of the Society of Corporate Law Academics voted to confer honorary life membership on Professor Ramsay as someone who has made "a sustained and outstanding contribution to corporate law in Australasia".

## **Service to the Australian Securities and Investments Commission**

- Member of the Australian Securities and Investments Commission Consultative Panel, 2020 to date
- Appointed by the Australian Securities and Investments Commission to provide advice on the proposed revised Memorandum of Understanding between ASIC and the Australian Prudential Regulation Authority (APRA) following the recommendations in the report of the Royal Commission into Misconduct in the Banking, Superannuation and Financial Services Industry dealing with regulatory co-ordination and information sharing between ASIC and APRA, 2019
- Member of the Australian Securities and Investments Commission Taskforce to Review ASIC's Enforcement Policies, Processes and Decision-Making Procedures, 2018-2019
- Member of the Australian Securities and Investments Commission Enforcement Review Taskforce, 2016-2017
- Member of the Australian Securities and Investments Commission External Advisory Panel, 2009-2013. The purpose of the Panel is to provide ASIC with “very senior advice from the financial services industry in order to help ASIC meet its statutory objectives. The Panel will assist ASIC in gaining a deeper understanding of developments and systemic risks within the financial services industry which are likely to have implications for:
  - the integrity of capital markets;
  - consumers of financial services and products and investors;
  - international capital flows; and
  - systemic stability within the financial system.”
- Author of the report for ASIC titled “Disclosure of Fees and Charges in Managed Investments: Review of Current Australian Requirements and Options for Reform”, 2002
- Provided organisational and teaching assistance for the 2001, 1999 and 1998 ASIC Summer Schools
- Member of the ASIC Corporate Governance Roundtable 1998-2002

## **Service to other universities**

- Member of the External Academic Review Panel for the review of the Singapore Management University School of Law, 2019
- Member of the Hong Kong University Grants Committee Law Panel for the University Research Assessment Exercise, 2013-2014
- Member of the Academic Advisory Board of the Asian Institute of International Financial Law, University of Hong Kong, 2000 to date
- Member of the Selection Committee for Chairs of Law at Monash University, the University of Queensland, University of Auckland, La Trobe University, the Australian National University and Queensland University of Technology
- Member of the Professorial Promotions Committee, Faculty of Law, Monash University, 2004
- External Examiner, Faculty of Law, The University of Hong Kong, 2000-2002
- Member of the Professorial Promotions Committee, Faculty of Law, The University of Sydney, 2000-2001

- Member of the Reaccreditation Committee for the Degrees of Bachelor of Laws and Master of Laws, Deakin University, 2001
- Member of the Review Panel for the Centre for Commercial and Property Law, Faculty of Law, Queensland University of Technology, 1998

#### **Service to courts**

- Member of the Federal Circuit Court of Australia Steering Committee for the pilot project on using financial counsellors in the Federal Circuit Court, 2014-2015
- Co-organiser of the annual Supreme Court of Victoria Commercial Law Conference, 2009-2016

#### **Service to other organisations**

- Member of the Judging Panel for the Mergermarket Australia Mergers and Acquisitions Awards, 2018
- Member of the Capital Markets Advisory Group of the Securities Commission of Malaysia, 2013-2015
- Member of the Advisory Council of the Centre for International Finance and Regulation, 2012-2015
- Member of the B Lab Board Advisory Group, 2015-2017
- Member of the Australian - New Zealand Shadow Financial Regulatory Committee, 2006-2015
- Member of the Appeals Panel of the Federation of International Basketball Associations, 2002-2014

### **Supervision of higher degree theses**

Professor Ramsay has supervised higher degree theses (PhD, SJD and LLM) at The University of Melbourne and The University of New South Wales.

The following students have completed their higher degree theses under Professor Ramsay's supervision:

- Nicola Howell, PhD, "A mixed methods study of debtor and practitioner perspectives of bankruptcy and other debt management options" (co-supervisor) 2024
- Michael Legg, PhD, "Public and private enforcement of securities laws in Australia: An examination of the continuous disclosure regime" (co-supervisor) 2019. A revised version of the thesis was published as Michael Legg, "Public and Private Enforcement of Securities Laws: The Regulator and the Class Action in Australia's Continuous Disclosure Regime", Bloomsbury Publishing, 2022
- Emma Armson, PhD, "The Australian Takeovers Panel: An effective forum for dispute resolution?" (co-supervisor) 2018
- Marco Bini, PhD, "The duty to act in the best interests of the public entity" (co-supervisor) 2014
- Michelle Welsh, PhD, "Civil penalties under the Corporations Act and the enforcement role of the Australian Securities and Investments Commission" 2009. Dr Welsh was

awarded the Harold Luntz Graduate Research Thesis Prize for 2009. The prize is awarded annually to the Melbourne Law School graduate research student judged to have presented the best thesis.

- John Date, LLM by thesis, “Implications of canon law for church organisations operating in Australia” (co-supervisor) 2008
- Pamela Hanrahan, SJD, “Officers’ accountability in managed investment schemes” 2006. A revised version of this thesis was published as Pamela Hanrahan, “Funds management in Australia: Officers’ duties and liabilities”, LexisNexis Butterworths, 2007
- Daniel Stepniak, PhD, “Electronic media coverage of court proceedings: The Australian experiences in light of international developments” 2005. A revised version of this thesis was published as Daniel Stepniak “Audio-visual coverage of courts: A comparative analysis”, Cambridge University Press, 2008
- Allan Bulman, LLM by minor thesis and coursework, “How section 667C of the Corporations Act should be interpreted and its application to the various forms of compulsory acquisition” 2002
- Nicole Calleja, LLM by minor thesis and coursework, “The new Takeovers Panel: A better way?” 2001. A revised version of this thesis was published as Nicole Calleja, The new Takeovers Panel: A better way?”, CCH Australia, The Takeovers Panel and Centre for Corporate Law, University of Melbourne, 2002
- Henry Lloyd, LLM by minor thesis and coursework, “Compulsory acquisition of minority interests”, 2001
- Jonathan Farrar, LLM by thesis, “Acquiring control of Australian companies: Takeover or scheme of arrangement?” 2000
- Vivian Goldwasser, SJD, “The regulation of stock market manipulation”, 1998. A revised version of this thesis was published as Vivian Goldwasser, “Stock market manipulation and short selling”, CCH Australia and Centre for Corporate Law, University of Melbourne, 1999
- Donna Croker, LLM by minor thesis and coursework, “Prospectus liability under the Corporations Law” 1998. A revised version of this thesis was published as Donna Croker, “Prospectus liability under the Corporations Law”, Centre for Corporate Law, University of Melbourne, 1999
- Bruce Taylor, LLM by minor thesis and coursework, “The regulation and enforceability of issues of debt securities issued by trustees in Australian securitisation programs”, 1997
- Kam Fan Sin, PhD (University of New South Wales), “The legal nature of the unit trust” (co-supervisor) 1996. A revised version of this thesis was published as Kam Fan Sin, “The legal nature of the unit trust”, Oxford University Press, 1997
- Phillip Lipton, LLM by minor thesis and coursework, “The codification of agency principles in their application to companies”, 1995. A revised version of this thesis was published as Phillip Lipton, “The authority of officers and agents to act for a company: Legal principles”, Centre for Corporate Law, University of Melbourne, 1996
- John Lambrick, LLM by minor thesis and coursework, “Breach of director’s duty: The implications for a lender with notice”, 1995

## **Competitive research grants obtained**

Australian Research Council Discovery Grant, “Negotiated Enforcement: Regulatory Power and Corporate Misconduct”. Funds received: 2019 - \$105,000; 2020 - \$111,000; 2021 - \$95,000

Australian Research Council Discovery Grant, “Financial Exclusion, Poor Insurer Practices and Consumer Protection” (with P Ali). Funds received: 2018 - \$110,000; 2019 - \$119,280; 2020 - \$129,636

Melbourne Law School International Collaboration Grant, “Directors’ Liability for Insolvent Trading in Australia: Reflections on Recent Reforms from Experience in Singapore and Japan” (with S Steele of Melbourne Law School and M S Wee of National University of Singapore Law). Funds received: 2017-2018 - \$9,110

Australian Research Council Linkage Grant, “Harmful Financial Products: Analysis of Their Impact and Regulation” (with P Ali). Funds received: 2016 - \$70,500; 2017 - \$197,500; 2018 - \$239,500; 2019 - \$112,500 (Partner Organisation: Consumer Action Law Centre, Financial Counselling Australia, Good Shepherd Youth and Family Service, Western Community Legal Centre and Mallee Family Care Inc)

Australian Research Council Discovery Grant, “The Legal and Social Dimensions of Financial Hardship in Australia: Implications for Legal, Regulatory and Policy Frameworks” (with P Ali). Funds received: 2014 - \$113,000; 2015 - \$129,000; 2016 - \$154,000

Australian Research Council Discovery Grant, “Phoenix Activity: Regulating Use of the Corporate Form” (with H Anderson, A O’Connell and M Welsh). Funds received: 2014 - \$133,000; 2015 - \$142,000; 2016 - \$128,000

Australian Research Council Linkage Grant, “Evaluating Australian Personal Insolvency Laws in the Context of Changing Demographics and Increasing Financial Stress” (with P Ali). Funds received: 2013 - \$60,166; 2014 - \$127,406; 2015 - \$152,566; 2016 - \$85,326 (Partner Organisations: Consumer Action Law Centre, Financial Counselling Australia and Good Shepherd Youth and Family Service)

Centre for International Finance and Regulation Research Grants:

- “An Analysis of Penalties under ASIC Administered Legislation” (with G Gilligan and A Godwin). Funds received 2014-2016 - \$291,813
- “Financial System Regulation - Is Australia’s ‘Twin Peaks’ Approach a Model for China?” (with A Godwin and L Guo, Peking University Law School). Funds received: 2013-2014 - \$43,161
- “Financial Products and Short Form Disclosure Documents” (with A Godwin). Funds received: 2013-2014 - \$28,139
- “Assessing the Governance of Institutional Investors when Investing in Complex Financial Products” (with P Ali). Funds received: 2013-2014 - \$19,744
- “Evaluating the Impact of Securities Loans on Shareholder Rights and the Governance of Listed Companies” (with P Ali). Funds received: 2013-2014 - \$9,887
- “Financial Literacy and Innovation in Superannuation Product Disclosure” (with P Ali and C Shekhar). Funds received: 2013-2014 - \$39,954

Melbourne School of Government Research Cluster Grant, “Financial Regulation in Asia – A New Model for Regional Cooperation” (with A Godwin (Melbourne Law School), A Mitchell (Melbourne Law School), K Davis (School of Business and Economics), J Lai (Faculty of Arts), A Walter (Faculty of Arts). Funds received: 2014-2015 - \$213,917

Melbourne Law School Indigenous Research Grant Scheme, “Predatory Conduct, Consumer Rental Goods and Indigenous Consumers” (with M McMillan, P Ali and C McRae). Funds received: 2014-2015 - \$5,000

National University of Singapore and Melbourne Law School Research Partnership Grant, “Disciplining Insolvency Practitioners in Australia and Singapore: Legal and Policy Trends (with S Steele (Melbourne Law School) and M S Wee (National University of Singapore Law)). Funds received: 2013-2014 - \$20,000

University of Oxford – Melbourne Law School Research Partnership, “A Comparative Analysis of the Reform of Personal Property Security Law in Australia and the United Kingdom” (with P Ali and L Gullifer of the University of Oxford). Funds received: 2013-2014 - \$17,500 and £10,000

Victoria Law Foundation Grant, “Reform of Consumer Lease Contracts - Ensuring Greater Protection for Consumers” (with P Ali). Funds received: 2012 - \$4,990

Australian Research Council Discovery Grant, “Safeguarding the Financial Well-Being of Australians by Improving Financial Literacy: Implications for Consumer Protection Laws” (with P Ali). Funds received: 2010 - \$85,000; 2011 - \$95,000; 2012 - \$96,000

Australian Research Council Discovery Grant, “Legal Origins: The Impact of Different Legal Systems on the Regulation of the Business Enterprise in the Asia-Pacific Region” (with R Mitchell, S Cooney and P Gahan). Funds received: 2010 - \$85,000; 2011 - \$81,000; 2012 - \$84,000

Melbourne Centre for Financial Studies Grant, “The Costs of Corporate Litigation in Australia” (with A Lamba). Funds received: 2009 - \$12,000

Victoria Law Foundation Grant, “The Impact of Variable Rate Home Loan Early Termination Fees on Low Income Vulnerable Home-Owners”. Funds received: 2009 - \$4,150

Australian Research Council Discovery Grant, “Employee Share Ownership Plans: Current Practice and Regulatory Reform” (with C Rider, A O’Connell and R Mitchell). Funds received: 2006 - \$147,000; 2007 - \$86,000; 2008 - \$90,000

Australian Research Council Discovery Grant, “Partnerships at Work: The Interaction Between Employment Systems, Corporate Governance and Ownership Structure” (with R Mitchell). Funds received: 2003 - \$150,000; 2004 - \$140,000; 2005 - \$130,000; 2006 - \$100,000; 2007 - \$120,500

Australian Research Council Large Grant, “The Directorship of Entrepreneurial Firms and the Role of Public and Private Capital Markets” (with G Stapledon and M Whincop – Griffith University). Funds received: 2001 - \$46,000; 2002 - \$36,562; 2003 - \$44,831

Australian Research Council SPIRT (Collaborative) Grant, “Accountability and Corporate Governance in Non-Profit Companies” (with S Woodward). Funds received: 2001 - \$30,000; 2002 - \$25,000; 2003 - \$25,000, with matching funds provided by Philanthropy Australia Inc (the Industry Partner)

Australian Research Council Large Grant, “The Governance of Managed Investment Schemes” (with P Hanrahan and G Stapledon). Funds received: 2000 - \$46,000; 2001 - \$45,000; 2002 - \$46,000

Australian Research Council SPIRT (Collaborative) Grant, “The Use and Operation of the Enforcement Regime Attracted by Contraventions of Directors’ Duties in Australian Corporations Law (with H Bird and A Freiberg). Funds received: 1999 - \$50,000; 2000 - \$40,000, with matching funds provided by the Australian Securities and Investments Commission (the Industry Partner)

University of Melbourne Faculty of Economics and Commerce Research Grant, “An Analysis of Factors Influencing the Share Buy-back Decision” (with A Lamba). Funds received: 2000 - \$7,000

Australian Research Council Large Grant, “Corporate Disclosure: An Analysis of the Role of Prospectuses in Capital Raising in Australia and New Zealand”. Funds received: 1998 - \$40,000; 1999 - \$25,000

Australian Research Council SPIRT (Collaborative) Grant, “Electronic Prospectuses: Devising an Appropriate Regulatory Regime” (with E Boros). Funds received: 1998 - \$54,000; 1999 - \$39,000, with matching funds provided by the Australian Securities and Investments Commission (the Industry Partner)

Criminology Research Council Grant, “Directors’ Misconduct Decriminalised: Are the ‘Civil’ Sanctions in the Corporations Law Effective?” (with H Bird). Funds received: 1998 - \$20,395

University of Melbourne Faculty of Economics and Commerce Research Grant, “The Costs of Corporate Litigation” (with A Lamba). Funds received: 1998 - \$8,900

Australian Research Council SPIRT (Collaborative) Grant, “The Impact of Institutional Investors on Capital Markets and Corporate Performance” (with K Davis and G Stapledon). Funds received: 1997 - \$25,116; 1998 - \$47,306, with matching funds provided by the Australian Investment Managers' Association (the Industry Partner)

Australian Research Council Small Grant, “The Role of Institutional Investors in Corporate Governance and the Influence of Corporate Law on this Role” (with G Stapledon). Funds received: 1997 - \$19,800

Law Foundation of New South Wales

- “Shareholders’ Rights and Remedies”. Funds received: 1994 - \$1,500
- “Underpricing of Initial Public Offerings”. Funds received: 1994 - \$2,500
- “Share Buy-Backs”. Funds received: 1993 - \$2,500
- “Ownership Concentration of Australian Companies”. Funds received: 1992 - \$2,500

## **Citations of research**

Professor Ramsay’s publications have been cited by the High Court of Australia, the Federal Court of Australia, the Courts of Appeal of the Supreme Courts of New South Wales, Victoria

Queensland and Western Australia, as well as by the Full Court of the Supreme Court of South Australia.

His publications have also been cited by the Supreme Court of the United Kingdom, the United States Bankruptcy Court, the Court of Appeal of New Zealand, the High Court of New Zealand, the Court of Appeal of the High Court of Hong Kong, the Court of Appeal of the Supreme Court of Singapore, the High Court of the Supreme Court of Singapore, the Court of Appeal of Malaysia, the High Court of Malaysia and the Scottish Court of Session (the supreme civil court in Scotland).

His publications have also been cited many times in books and journal articles. These citations include articles published in leading US law journals such as the Harvard Law Review, the Yale Law Journal, the Columbia Law Review, the American Journal of Comparative Law, the University of Chicago Law Review, the University of Pennsylvania Law Review, the Georgetown Law Journal, the Texas Law Review, the Virginia Law Review and the Cornell Law Review and articles published in leading UK law journals such as the Law Quarterly Review, the Oxford Journal of Legal Studies, the Cambridge Law Journal and the Modern Law Review. Leading Australian journals in which Professor Ramsay has been cited include the Melbourne University Law Review, the Sydney Law Review, the Federal Law Review, the University of New South Wales Law Journal and the Monash University Law Review.

## **Reviewing for journals**

Professor Ramsay has acted as a reviewer for Abacus; Accounting and Finance; African Journal of Business Management; Agenda (Journal of Policy Analysis and Reform); Australian Accounting Review; Australian and New Zealand Journal of Criminology; Australian Bar Review; Australian Business Law Review; Australian Journal of Asian Law; Australian Journal of Corporate Law; Australian Resources and Energy Law Journal; Cambridge Law Journal; Commercial Law Quarterly; Company and Securities Law Journal; Competition and Consumer Law Journal; Current Commercial Law; Current Issues in Criminal Justice; Deakin Law Review; Federal Law Review; Griffith Journal of Law and Human Dignity; International and Comparative Law Quarterly; International Journal of Law and Education; Journal of the Australasian Law Teachers Association; Journal of Australian Studies; Journal of Corporate Law Studies; Journal of Equity; Journal of Financial Regulation; Journal of Law, Finance and Accounting; Journal of Law, Information and Science; Labor Studies Journal; Law in Context; Law Society Journal; Legal Education Review; Macquarie Journal of Business Law; Macquarie University Law Journal; Melbourne University Law Review; Monash University Law Review; Murdoch University Electronic Journal of Law; National Law Review; New Zealand Law Review; New Zealand Universities Law Review; Oxford University Commonwealth Law Journal; QUT Law Review; Singapore Academy of Law Journal; Singapore Journal of Legal Studies; Sydney Law Review; University of New South Wales Law Journal; Victoria University Law and Justice Journal.

## **Advice on promotion applications and appointments**

Professor Ramsay has provided advice on promotion applications and appointments to the Australian National University, Canterbury University, Chinese University of Hong Kong, Deakin University, Durham University, Edith Cowan University, Flinders University, Griffith University, James Cook University, La Trobe University, London School of Economics and Political Science, McGill University, Monash University, Nanyang Technological University, National University

of Singapore, Osgoode Hall Law School, Oxford University, Queen's University, Queensland University of Technology, Royal Melbourne Institute of Technology, Rutgers University, Singapore Management University, Thompson Rivers University, University of Auckland, University of British Columbia, University of Cambridge, University of Canberra, University of Glasgow, University of Hong Kong, University of Melbourne, University of New Brunswick, University of New South Wales, University of Notre Dame, University of Queensland, University of Sydney, University of Technology, Sydney, University of Tasmania, University of Toronto, University of Western Australia, University of Western Sydney, University of Wollongong, University of York and Victoria University of Wellington.

## Recent awards

Joint winner of the 2024 Melbourne Law School Research Impact Excellence Prize

## Memberships

Memberships include:

- Lawyer of the Supreme Court of New South Wales (since 1982)
- Member of the Business Law Section of the Law Council of Australia (since 1990)
- Member of the New York Bar (since 1991)
- Member of the Society of Corporate Law Academics (since 1994)
- Research Member of the European Corporate Governance Institute (2021–2025)

## Publications

### *Books*

**Company Directors: Principles of Law and Corporate Governance**, LexisNexis, 2nd edition, 2023, 1229 pages, ISBN 9780409357912; 1st edition, 2005, 822 pages, ISBN 0409313351 (the first edition was co-authored with HAJ Ford and RP Austin)

**Ford, Austin and Ramsay's Principles of Corporations Law**, LexisNexis Butterworths, 17th edition, 2018, 1994 pages (co-author with RP Austin – as were the 16th, 15th, 14th, 13th and 12th editions), ISBN 9780409338386; 16th edition, 2015, 1849 pages, ISBN 9780409338386; 15th edition, 2013, 1782 pages, ISBN 9780409331509; 14th edition, 2010, 1633 pages, ISBN 9780409326444; 13th edition, 2007, 1477 pages, ISBN 9780409323634; 12th edition, 2005, 1418 pages, ISBN 0409322245; 11th edition, 2003, 1313 pages (co-author with HAJ Ford and RP Austin – as were the 10th, 9th and 8th editions), ISBN 0409320633; 10th edition, 2001, 1398 pages, ISBN 0409316989, 9th edition, 1999, 1278 pages, ISBN 0409315664; 8th edition, 1997, 1198 pages, ISBN 040931241X. The 8th to 15th editions were titled “Ford's Principles of Corporations Law”

**Incentivising Employees: The Theory, Policy and Practice of Employee Share Ownership in Australia**, Melbourne University Publishing, 2013, 272 pages (co-author with I Landau and A O'Connell), ISBN 9780522864090

**Law, Corporate Governance and Partnerships at Work: A Study of Australian Regulatory Style and Business Practice**, Ashgate, 2011, 229 pages (co-author with R Mitchell, A O'Donnell, S Marshall and M Jones), ISBN 9781409421061

**Commercial Applications of Company Law**, Oxford University Press, Melbourne, 23rd edition, 2022, 995 pages (co-author with P Hanrahan and G Stapledon – as were all earlier editions), ISBN 9780190337209; 22nd edition, 2021, 970 pages, ISBN 9780190330750; 21st edition, 2020, 968 pages, ISBN 9780190323929; 20th edition, 2019, 964 pages, ISBN 9780190318451; 19th edition, 2018, 946 pages, ISBN 9780190310271; 18th edition, 2017, 947 pages, ISBN 9780190308476; 17th edition, 2016, 935 pages, ISBN 9780190304362; 16th edition, 2015, 961 pages, ISBN 9781925159851; 15th edition, 2014, 950 pages, ISBN 9781922215659; 14th edition, 2013, 968 pages, ISBN 9781922042613; 13th edition, 2012, 962 pages, ISBN 9781922010780; 12th edition, 2011, 959 pages, ISBN 9781921701801; 11th edition, 2010, 904 pages, ISBN 9781921593444; 10th edition, 2009, 909 pages, ISBN 9781 921485282; 9th edition, 2008, 892 pages, ISBN 9781921322051; 8th edition, 2007, 902 pages, ISBN 9781921223297; 7th edition, 2006, 890 pages, ISBN 1921022 833; 6th edition, 2005, 939 pages, ISBN 1920834931; 5th edition, 2004, 977 pages, ISBN 1920834125; 4th edition, 2003, 906 pages, ISBN 1864686480; 3rd edition, 2002, 824 pages, ISBN 1864685794; 2nd edition, 2001, 828 pages, ISBN 1864684771; 1st edition, 2000, 736 pages, ISBN 186468077 6 (the 1st edition to the 16th edition were published by CCH Australia)

**Commercial Applications of Company Law in New Zealand**, CCH New Zealand, 5th edition, 2015, 827 pages, ISBN 9781775470830 (co-author with P Hanrahan, G Stapledon, G Walker and A Pekmezovic – as was the fourth edition), 4th edition, 2012, 874 pages, ISBN 9780864759818; 3rd edition, 2009, 827 pages (co-author with P Hanrahan, G Stapledon, G Walker and T Reid – as were the first and second editions), ISBN 9780864757982; 2nd edition, 2005, 790 pages, ISBN 0864756550; 1st edition, 2002, 722 pages, ISBN 0864755503

**Commercial Applications of Company Law in Singapore**, CCH Asia, Singapore, 5th edition, 2015, 741 pages (co-author with P Hanrahan, G Stapledon, V Yeo and J Lee – as were all earlier editions), ISBN 9789814446174; 4th edition, 2011, 631 pages, ISBN 9789814248983; 3rd edition, 2008, 585 pages, ISBN 9789814228442; 2nd edition, 2006, 567 pages, ISBN 9814197130; 1st edition, 2004, 606 pages, ISBN 9810508808

**The Takeovers Panel and Takeovers Regulation in Australia** (editor), Melbourne University Publishing, 2010, 254 pages, ISBN 9780522858396

**Varieties of Capitalism, Corporate Governance and Employees**, Melbourne University Publishing, 2008 (co-editor with S Marshall and R Mitchell) 313 pages, ISBN 9780522855487

**Commercial Applications of Company Law in Malaysia**, CCH Asia, 3rd edition, 2008, 646 pages (co-author with P Hanrahan, G Stapledon, A Nariman Sulaiman and A Bidin – as were all earlier editions), ISBN 9789814228343; 2nd edition, 2005, 647 pages, ISBN 9814180874; 2002, 605 pages, ISBN 9810463375; 1st edition, 2002, 605 pages, ISBN 9810463375

**Experts' Reports in Corporate Transactions**, Federation Press, 2003, 239 pages, ISBN 1862874697 (co-author with L McDonald, G Moodie and J Webster)

**Key Developments in Corporate Law and Trusts Law: Essays in Honour of Professor Harold Ford** (editor), Butterworths, 2002, 324 pages, ISBN 0409319562

**Company Directors' Liability for Insolvent Trading** (editor), CCH Australia Limited and Centre for Corporate Law, 2000, 209 pages, ISBN 0734020899

**The Corporate Law Economic Reform Program Explained**, CCH Australia, 2000, 273 pages (co-author with R Baxt, I Renard, R Simkiss and J Webster), ISBN 1864682914

**Securities Regulation in Australia and New Zealand**, LBC Information Services, 2nd ed, 1998, 794 pages (co-editor with B Fisse and G Walker), ISBN 0455215944

**The New Corporations Law**, CCH Australia Ltd, 1998, 208 pages (co-author with A Bristow, S Mason, M McDonald and C Simpson), ISBN 1864680415

**Corporate Governance and the Duties of Company Directors** (editor), Centre for Corporate Law, 1997, 210 pages, ISBN 0734013434

**Education and the Law**, Butterworths, 1996, 356 pages (co-author with A Shorten), ISBN 0409309559

**Gambotto v WCP Ltd: Its Implications for Corporate Regulation** (editor), Centre for Corporate Law, 1996, 135 pages, ISBN 0732508215

**Ford, Austin and Ramsay's Principles of Corporations Law**, LexisNexis Butterworths, Sydney, 1995, 2200 pages, 3 volume looseleaf/online book updated six to eight times per annum (co-author with HAJ Ford and R P Austin until 2009 and then with RP Austin since 2009), ISBN 0409300683 (until 2015 the book was titled "Ford's Principles of Corporations Law")

### ***Chapters in Books***

"The Failed Attempt to Enact Benefit Company Legislation in Australia and the Rise of B Corps" in H Peter, CV Vasserot and JA Silva (eds), **International Handbook of Social Enterprises Law: Benefit Corporations and Other Purpose Driven Companies**, Springer, London, United Kingdom, 2023, 395-424 (co-author with M Upadhyaya)

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### **Media reports**

Professor Ramsay's research has been commented upon in over 300 newspaper articles. A list of these newspaper reports is available on the Melbourne Centre for Commercial Law website at <https://law.unimelb.edu.au/centres/mccl/research/research-programs/corporate-law-and-financial-regulation/engagement/media-commentary>

In addition, Professor Ramsay has given many television and radio interviews regarding his research. These interviews are also listed on the website of the Melbourne Centre for Commercial Law.

Professor Ramsay has published the following articles in newspapers, magazines and online commentary sites:

- The use of public warning notices by the Australian Securities and Investments Commission – should the law be reformed? Oxford Business Law Blog, 26 September 2024 (co-author with L Freeburn)
- Innovation, disruption and consumer harm in the buy-now-pay-later industry, Oxford Business Law Blog, 12 January 2024 (co-author with L O'Brien and P Ali)
- The rise and fall, and possible rise again, of the use of infringement notices by the Australian Securities and Investments Commission, The FinReg Blog, 5 September 2023 (co-author with M Webster)

- How ‘bad credit’ lender Cigno has dodged ASIC’s grasp, *The Conversation*, 5 August 2022 (co-author with L O’Brien and P Ali) (republished by the Consumers’ Federation of Australia on its website on 8 August 2022 and by SmartCompany on its website on 5 August 2022)
- Accountability of the Australian Securities and Investments Commission and the establishment of the Financial Regulator Assessment Authority – An evaluation, *The FinReg Blog*, 7 July 2022 (co-author with L Freeburn)
- Compliance programs introduced in response to enforcement by the Australian Securities and Investments Commission, *The Compliance and Enforcement Blog*, 22 April 2022 (co-author with M Upadhyaya)
- The Australian Securities and Investments Commission’s new immunity policy: An evaluation, *The FinReg Blog*, 19 April 2022 (co-author with M Upadhyaya)
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